



Sumitomo Dainippon  
Pharma

# Sumitomo Dainippon Pharma Corporate Profile

Sumitomo Dainippon Pharma Co., Ltd., operates every day to achieve its corporate mission “to broadly contribute to society through value creation based on innovative research and development activities for the betterment of healthcare and fuller lives of people worldwide”. By pouring all our efforts into the research and development of new drugs, we aim to provide innovative and effective pharmaceutical solutions to people not only in Japan but also around the world in order to realize our corporate mission.

With our acquisition of Sepracor Inc. (current Sunovion Pharmaceuticals Inc.) in 2009, we have established our own sales and marketing infrastructure in the U.S. The globalization of our operations has progressed significantly by the 2011 launch of Latuda®, a key product in our global business strategy. We were also successful in gaining a strong foothold in the oncology area through the 2012 acquisition of Boston Biomedical Inc., a U.S. biotechnology venture company.

In our Third Mid-term Business Plan that began in 2013, our corporate vision is two-fold: “Aspire to be a globally active R&D-based company” and “Contribute to medical care through leading-edge technologies.” For the realization of this vision, we are redoubling efforts to promote innovations. In addition to the focused research and development areas of Psychiatry & Neurology and Oncology, we are channeling our R&D energies also into two new fields: diseases for which no approved drugs exist as well as Regenerative Medicine / Cell Therapy.

Constantly aware of our responsibility to you and all our stakeholders from patients, their families and medical caregivers to our shareholders, employees and the communities where we work, we pledge our unflagging efforts to earn and maintain your trust in us. On behalf of all us at Sumitomo Dainippon Pharma, I would like to ask for your continued support and encouragement.



**Masayo Tada**  
Representative Director,  
President and CEO

## Corporate Mission

To broadly contribute to society through value creation based on innovative research and development activities for the betterment of healthcare and fuller lives of people worldwide

## Management Mission

- To contribute to healthcare and people's well-being based upon the principles of patient-oriented management and innovative research
- To continuously strive to maximize corporate value through constant business development and to fulfill shareholder expectations
- To create an environment in which employees can fulfill their potential and increase their creativity
- To maintain the trust of society and to contribute to the realization of a better global environment

## Declaration of Conduct

At Sumitomo Dainippon Pharma, directors and employees alike are determined to pursue corporate activities following the Declaration of Conduct shown below, not to mention abiding by laws and regulations, so that we will win greater trust of society and become an innovative company.

### 1. Follow through the global slogan "Innovation today, healthier tomorrows."

We will constantly pursue self-innovation to deliver innovative products and services with speed so that people around the world can lead healthier and more fulfilling lives.

### 2. Pursue trustworthy corporate activities

We will comply with laws and regulations, and conduct transparent and fair corporate activities with a strong commitment to ethical behavior. Also, we will reject all contacts with organizations involved in illegal activities or which deviate from accepted standards of responsible social behavior.

### 3. Positively disclose information and properly manage information

We will ensure adequate, timely and fair information disclosure and properly protect and manage the personal and consumer information acquired in the course of our business activities.

### 4. Help employees reach their full potential

We will create a safe, encouraging work environment where employees are trusted to take the initiative and can demonstrate the full extent of their creativity.

### 5. Respect human rights

We will respect the rights of all people associated with our company in conducting corporate activities.

### 6. Positively address global environmental issues

We will take proactive, independent action on global environmental issues, recognizing this as a vital part of our corporate mission. We will also offer environmentally friendly products and services.

### 7. Build harmonious relationships with society

As a good corporate citizen, we will engage in philanthropic activities and other activities of social benefit. We will also respect local cultures and customs both in and outside of Japan, and contribute to local community development through our corporate activities.



## Brand Mark



“Green Prism”, the symbol of Sumitomo Dainippon Pharma Co., Ltd., is a motif in the design of the “Sun” — expressing a lively sense of energy, moving on toward tomorrow; “Light” — to convey the potential and hope of the future; and “Flower” — engendering the joyous and liberated sensation of basking in good health. A design crafted to embody preeminent research and development powers, a thorough support system, the spirit of challenge, and the other stances of Sumitomo Dainippon Pharma — a company bent on supplying all people with the strength to push on toward an even brighter tomorrow. Emanating from the symbol, furthermore, is the image of a network, steadily spreading out into the world. The corporate color of Green plays on the hue of fresh young leaves and other images of healthy and energetic moods, and signs of what the future holds.

## Global Slogan

### Innovation today, healthier tomorrows

Befitting Sumitomo Dainippon Pharma’s tenth anniversary of the merger, “Innovation today, healthier tomorrows” is the global slogan adopted by four pharmaceutical companies of Sumitomo Dainippon Pharma group (Sumitomo Dainippon Pharma, Sunovion Pharmaceuticals, Boston Biomedical, and Sumitomo Pharmaceuticals (Suzhou)).

The global slogan reflects our full commitment to deliver to society revolutionary pharmaceuticals, acquired through groundbreaking ideas and high-standard research and development by each individual employee’s challenge for innovation, so as to help enable the patients and their families lead healthier and fulfilling lives.

## Corporate Profile

<b>Name</b>	Sumitomo Dainippon Pharma Co., Ltd.
<b>Establishment</b>	May 14, 1897
<b>Date of merger</b>	October 1, 2005
<b>Representative</b>	Masayo Tada (President and Chief Executive Officer)
<b>Capitalization</b>	22.4 billion yen (as of September 30, 2016)
<b>Employees</b>	3,962 (6,746: consolidated) (as of September 30, 2016)
<b>Business (consolidated)</b>	Manufacturing and sales of Pharmaceuticals, food ingredients, food additives, veterinary medicines, diagnostics and others
<b>Osaka Head office</b>	6-8, Doshomachi 2-chome, Chuo-ku, Osaka, Osaka, Japan (Zip code 541-0045) Main number: +81-6-6203-5321
<b>Tokyo Head office</b>	13-1, Kyobashi 1-chome, Chuo-ku, Tokyo, Japan (Zip code 104-8356) Main number: +81-3-5159-2500
<b>Fiscal year</b>	April 1 to March 31

In October 2005, Sumitomo Dainippon Pharma Co., Ltd. was formed through the merger of Dainippon Pharmaceutical Co., Ltd. and Sumitomo Pharmaceuticals Co., Ltd.

Sumitomo Dainippon Pharma aims to actualize its vision of becoming “an innovative pharmaceutical company with a strong market presence.”

We are aiming for the enhancement of the domestic revenue base, promotion of the development of overseas business, enhancement of development pipelines and development as well as making aggressive investments for future growth.

## October 1, 2005 Sumitomo Dainippon Pharma created.

<b>2006</b>	<ul style="list-style-type: none"> <li>● AmBisome® (therapeutic agent for systemic fungal infection) launched.</li> </ul>
<b>2007</b>	<ul style="list-style-type: none"> <li>● REPLAGAL® (therapeutic agent for Anderson-Fabry disease) launched.</li> <li>● The laboratory products business was transferred to DS Pharma Biomedical Co., Ltd.</li> <li>● The mid-term business plan (for the period from fiscal 2007 to fiscal 2009) started.</li> </ul>
<b>2008</b>	<ul style="list-style-type: none"> <li>● LONASEN® (atypical antipsychotic) launched.</li> <li>● AVAPRO® (therapeutic agent for hypertension) launched.</li> </ul>
<b>2009</b>	<ul style="list-style-type: none"> <li>● TRERIEF® (therapeutic agent for Parkinson’s disease drug) launched.</li> <li>● A holding company (Dainippon Sumitomo Pharma America Holdings, Inc.) established in the United States.</li> <li>● Acquired Sepracor Inc. (Current Sunovion Pharmaceuticals Inc.), Sepracor (Current Sunovion Pharmaceuticals Inc.) became a wholly-owned subsidiary of U.S. holding company.</li> </ul>
<b>2010</b>	<ul style="list-style-type: none"> <li>● MIRIPLA® (therapeutic agent for hepatocellular carcinoma) launched.</li> <li>● The 2nd mid-term business plan (for the period from fiscal 2010 to fiscal 2014) started.</li> <li>● Sepracor Inc. (Current Sunovion Pharmaceuticals Inc.) and Dainippon Sumitomo Pharma America, Inc. merged in the United States.</li> <li>● METGLUCO® (biguanide oral hypoglycemic) launched.</li> <li>● The Human Growth Hormone business transferred.</li> <li>● The Animal Health Products business split off and DS Pharma Animal Health Co., Ltd. established.</li> <li>● The Food &amp; Speciality Products business integrated into its subsidiary Gokyo Trading Co., Ltd. and corporate name changed to DSP Gokyo Food &amp; Chemical Co., Ltd.</li> <li>● Sepracor Inc. changed its company name to Sunovion Pharmaceuticals Inc.</li> </ul>
<b>2011</b>	<ul style="list-style-type: none"> <li>● LATUDA® (atypical antipsychotic) launched in the United States by Sunovion Pharmaceuticals Inc.</li> <li>● SUREPOST® (rapid-acting insulin secretagogue) launched.</li> </ul>
<b>2012</b>	<ul style="list-style-type: none"> <li>● Acquired Boston Biomedical Inc.</li> <li>● ZETONNA® (therapeutic agent for allergic rhinitis) launched in the U.S. by Sunovion Pharmaceuticals Inc.</li> <li>● Sunovion Pharmaceuticals Inc. acquired Elevation Pharmaceuticals Inc. (Current SRD)</li> <li>● AIMIX® (therapeutic agent for hypertension) launched.</li> </ul>
<b>2013</b>	<ul style="list-style-type: none"> <li>● A Subsidiary (Sunovion Pharmaceuticals Asia Pacific Pte Ltd.) established in Singapore.</li> <li>● The 3rd mid-term business plan (for the period from fiscal 2013 to fiscal 2017) started.</li> <li>● Dainippon Sumitomo Pharma Europe Ltd. changed its company name to Sunovion Pharmaceuticals Europe Ltd.</li> <li>● Joint venture company (Create Vaccine Company, Ltd.) established.</li> <li>● An anti-cancer drugs sales subsidiary company (Boston Biomedical Pharma, Inc.) established in the U.S.</li> </ul>

<b>2014</b>	<ul style="list-style-type: none"> <li>● Joint venture company (Sighregen K.K.) established.</li> <li>● Kobe Regenerative &amp; Cellular Medicine Center opened.</li> <li>● APTIOM® (antiepileptic) launched in the U.S. by Sunovion Pharmaceuticals Inc.</li> <li>● LATUDA® (atypical antipsychotic) launched in the U.K. by Sunovion Pharmaceuticals Europe Ltd.</li> <li>● Announced reorganization of product sites (Integation of productive functions of Ibaraki Plant and Suzuka Plant, Closure of Ehime Plant)</li> </ul>
<b>2015</b>	<ul style="list-style-type: none"> <li>● Started promotion for the indication “pruritus in chronic liver disease patients” of REMITCH®</li> <li>● Trulicity® (GLP-1 receptor agonist) launched.</li> </ul>
<b>2016</b>	<ul style="list-style-type: none"> <li>● Sunovion Pharmaceuticals Inc. acquired Cynapsus Therapeutics Inc., a Canadian company (current Sunovion CNSDevelopment Canada ULC)</li> </ul>

### Dainippon Pharmaceutical Co., Ltd.

Dainippon Pharmaceutical Co., Ltd., established on May 14, 1897. Twenty-one prominent leaders in the pharmaceutical industry in Doshomachi, Osaka, founded Osaka Pharmaceuticals Co., Ltd.

In 1898, Pharmaceutical Plant (former, Osaka Plant and former, Osaka Center) established in Ebie, Osaka. The company acquired the semigovernmental Dainippon Pharmaceutical Company in Tokyo and changed the name of the company to Dainippon Pharmaceutical Co., Ltd.

### Sumitomo Pharmaceuticals Co., Ltd.

Sumitomo Pharmaceuticals Co., Ltd., established on February 6, 1984, from the Research, Development, and Manufacturing divisions of Sumitomo Chemical Co., Ltd.'s pharmaceuticals business, as well as the Pharmaceuticals Sales division of Inabata & Co., Ltd., the sole distributor of Sumitomo Chemical Company's pharmaceuticals.

The new company opened for business on October 1.

## Corporate Governance

### Basic concept on corporate governance

Sumitomo Dainippon Pharma Co., Ltd. (the “Company”) has established the Basic Policy on Corporate Governance and commits itself to continuously pursuing the establishment of a corporate governance system which is highly effective, aiming for the fuller realization of our Corporate Mission and Management Mission.

### Factors that could significantly influence corporate governance

Sumitomo Chemical Co., Ltd. is the parent company of Sumitomo Dainippon Pharma with a 50.22% share of voting rights. Respect for autonomy is affirmed by the parent company and management independence is maintained, with no restraints on approvals or other matters by the parent company concerning Sumitomo Dainippon Pharma’s business operations. Furthermore, no directors of Sumitomo Chemical sit on the Sumitomo Dainippon Pharma Board of Directors. Sumitomo Dainippon Pharma retains some personnel seconded from the parent company based on Sumitomo Dainippon Pharma’s own judgment, and believes this has no influence on Sumitomo Dainippon Pharma’s management or business operations. Respect for autonomy is affirmed by the parent company and Sumitomo Dainippon Pharma’s independence is maintained. Based on the above, Sumitomo Dainippon Pharma believes that the interests of its retail shareholders are not impaired by its parent company.

### Management structure

The Company has elected the organizational structure of a “Company with an Audit & Supervisory Board” and has appointed Independent Outside Directors to audit the execution of duties by the Directors, independent of the Board of Directors. In addition, the Company has adopted an executive officer system to separate management supervision from business execution.

The Board of Directors consists of eight members, including two Independent Outside Directors. The Board of Directors holds a meeting once a month, in principle, and resolves and reports on material business matters.

The Audit & Supervisory Board consists of five members, including three Outside Audit & Supervisory Board Members. The Audit & Supervisory Board holds a meeting once a month, in principle, discusses and resolves material matters relating to auditing, and also examines in advance to be submitted to the Board of Directors for discussion.

The Company has the Nomination and Compensation Committee, which holds a meeting as necessary, as a consultative body to the Board of Directors for enhancing the objectivity and independence of the functions of the Board of Directors on matters such as nomination of the candidates for Directors and Audit & Supervisory Board Members, and decisions on compensation of Directors. The Committee consists of three members, the majority of which being two Independent Outside Directors, and the chairperson be appointed from the Independent Outside Directors.

The Management Committee holds meetings twice a month, in principle, as a consultative body to the Representative Directors, President and CEO for the decision making for important business matters, based on the basic policy determined by the Board of Directors.

In addition, the Executive Committee holds a meeting once a month, in principle, for the purpose of appropriately sharing among the Directors and Audit & Supervisory Board Members, including the Outside Directors and the Outside Audit & Supervisory Board Members, the status of the execution of business and material matters relating to the execution of business.

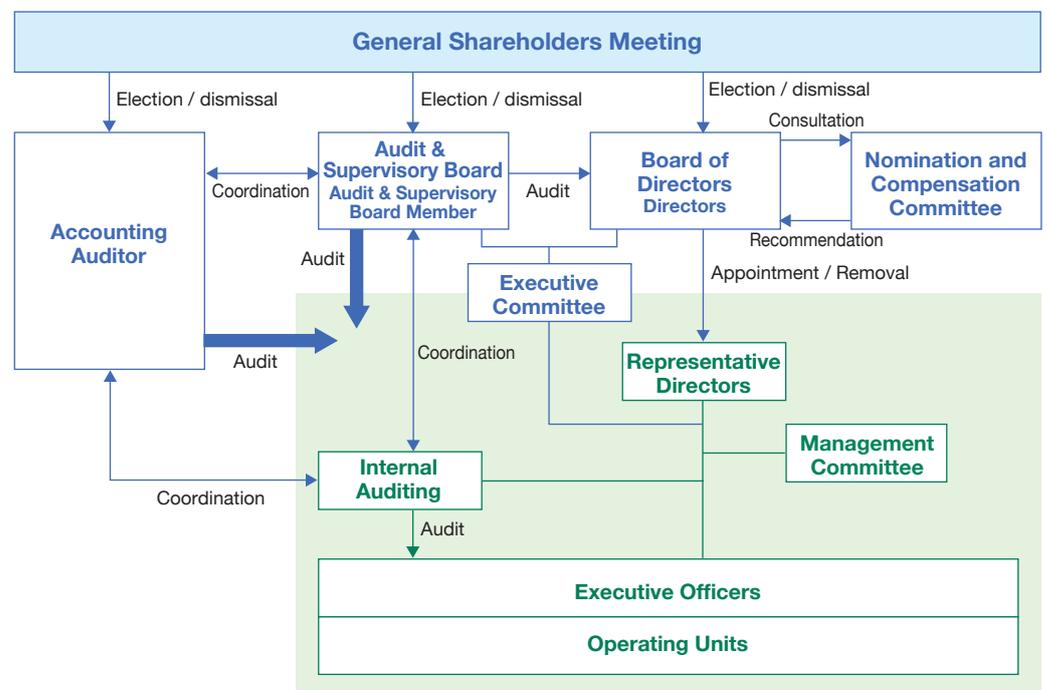
## Audit system

Sumitomo Dainippon Pharma has appointed five Audit & Supervisory Board members, three of whom are outside members. The Audit & Supervisory Board, composed of all the Audit & Supervisory Board members, determines audit policy, task allocation among members and other matters. In line with these matters, each member works to create an environment for greater audit effectiveness, including regular meetings with the representative directors, proactive reporting from and discussions with the other directors and employees, cooperation with the accounting auditor and the Internal Auditing Department, and furthermore, cooperation among all parties involved in auditing. In addition, members attend key business meetings including those of the Board of Directors to confirm the legality and appropriateness of management decisions by the directors and proactively audit the operational status of the internal control system through measures including receiving reports from directors and employees on the status of task execution, requesting explanation as necessary and viewing significant approval forms and other documents. The dedicated staff has been established for the Audit & Supervisory Board members to raise the effectiveness of their audits and to smoothly accomplish auditing tasks.

Accounting audits are handled by KPMG AZSA LLC, based on an audit agreement. Internal audits are carried out by the Internal Auditing Department, which reports directly to the President of Sumitomo Dainippon Pharma. The basic elements for achieving the objectives of internal control, including subsidiaries, are audited from a fair and independent standpoint.

## Development of an internal control system

The Board of Directors of Sumitomo Dainippon Pharma passed a resolution on the basic policies for the development of a system to ensure appropriate business operation. The status of implementation efforts pursuant to the basic policies for each year is reported based on the Companies Act at the Board of Directors meeting held in the last month of the fiscal year and the basic policies are revised as necessary to improve the system.



## Pharmaceuticals

The Sumitomo Dainippon Pharma Group sets the pharmaceuticals business, in order to deliver innovative and useful pharmaceuticals to people worldwide as well as in Japan we make every effort in applying advanced R&D to all of our new pharmaceuticals.

We advance the R&D for continuously creating new pharmaceuticals based on global development, and expand the pharmaceuticals business in Japan and worldwide.

## Japan

### Information provision activities

New drugs are delivered to patients after passing a long process that includes basic research, clinical studies and review by the government for regulatory approvals. Even after drug products are launched and used by a large number of patients, safety and efficacy are continually checked resulting in safe and secure, useful pharmaceuticals. It is only after proper usage has been established based on safety and efficacy information that the pharmaceuticals can fulfill their desired function of contributing effectively to human health requirements.

At Sumitomo Dainippon Pharma, we use our MR (Medical Representatives) to provide all of the requisite highly specialized information to personnel engaged in medical care. Our aim is to improve the confidence of medical personnel in Sumitomo Dainippon Pharma and to contribute via our daily MR activities to the betterment of healthcare and fuller lives of patients.

### Focus marketing areas and key products

We have positioned Cardiovascular / Diabetes, Psychiatry & Neurology, and Speciality areas as our focus marketing areas and we are progressing sales activities in Japan.

In the Cardiovascular and Diabetes area, our hypertension therapeutic products include an angiotensin II receptor blocker (ARB), calcium channel blocker (CCB), combination product of ARB and CCB, diuretic, angiotensin-converting enzyme (ACE) inhibitors and an alpha-beta blocker thus enabling us to participate effectively in the treatment of hypertension. In the diabetes area, we also promote several products which have different mechanisms of action for type 2 diabetes in order to respond to a wide range of medical needs. In the Psychiatry & Neurology areas, we offer a number of therapeutic drugs covering a wide range of diseases from schizophrenia to Parkinson's disease, anxiety disorders and epilepsy. In addition, in our Speciality areas (areas with high unmet medical needs where high specialization is required), we respond to a wide range of medical needs through the promotion of a therapeutic agent for systemic fungal infection, an Anderson-Fabry disease drug and a therapeutic agent for hepatocellular carcinoma.

We aim to maximize earnings by concentrating sales resources on strategic products AIMIX<sup>®</sup>, LONASEN<sup>®</sup>, TRERIEF<sup>®</sup>, new products REMITCH<sup>®</sup>, Trulicity<sup>®</sup> and speciality products Ambisome<sup>®</sup>, REPLAGAL<sup>®</sup>, MIRIPLA<sup>®</sup>.

#### Strategic products

Product name	Therapeutic indication
AIMIX <sup>®</sup>	Therapeutic agent for hypertension
LONASEN <sup>®</sup>	Atypical antipsychotic
TRERIEF <sup>®</sup>	Therapeutic agent for Parkinson's disease

### New products

Product name	Release Date	Therapeutic indication
<b>REMITCHI®</b>	May 2015*	Therapeutic agent for pruritus
<b>Trulicity®</b>	September 2015	GLP-1 receptor agonist

\* Marketed by Torii Pharmaceutical Co.,Ltd. Promoted for indication "pruritus in chronic liver disease patients" by Sumitomo Dainippon Pharma Co.,Ltd.

### Speciality Products

Product name	Therapeutic indication
<b>AmBisome®</b>	Therapeutic agent for systemic fungal infection
<b>REPLAGAL®</b>	Anderson-Fabry disease drug
<b>MIRIPLA®</b>	Therapeutic agent for hepatocellular carcinoma

### Major Focus products

Product name	Therapeutic indication
<b>AVAPRO®</b>	Therapeutic agent for hypertension
<b>SUREPOST®</b>	Rapid-acting insulin secretagogue
<b>METGLUCO®</b>	Biguanide oral hypoglycemic drug

## Aiming for the continuous creation of new drugs

The Sumitomo Dainippon Pharma Group aims to produce innovative pharmaceutical products, designating the psychiatry and neurology area and the oncology area as focus therapeutic areas, which represent unmet medical needs. The Sumitomo Dainippon Pharma Group also introduces various active strategies, including its own in-house research, technology import, and joint research with venture companies and academia to prepare for business development in global pioneering fields and advanced technology fields.

In the initial research stage, the Company makes efforts to improve research efficiency by use of advanced technologies owned by itself, such as genomics, proteomics, and metabolomics, and to apply the leading-edge science, including iPS cells, for our future new products. The Company is now also promoting a joint research with the Center for iPS Cell Research and Application, Kyoto University (CiRA) aiming for development of treatment for rare intractable diseases. Furthermore, the Company actively participates in the Research Center Network for Realization of Regenerative Medicine, a project involving industry, government, and academia. In the latter research stage and the development stage, the Company optimizes the portfolio of the entire Sumitomo Dainippon Pharma group from a global point of view, focusing on the key therapeutic area and including other areas as well. In addition, the Company is actively implementing product life cycle management, including the development of formulations that aims to maximize product values.

## Full-scale participation in oncology

The Sumitomo Dainippon Pharma Group is enhancing its presence in Oncology with the aim of making it a future core business area in addition to Psychiatry & Neurology. In April 2012, Sumitomo Dainippon Pharma acquired U.S. biotechnology company Boston Biomedical, Inc., which specializes in the area of Oncology. With this acquisition, we made a full-scale start on our global expansion in Oncology. With the aim to create innovative new drugs, in September 2012 we established the Sumitomo Dainippon Pharma Cancer Institute in Japan (Location: Osaka Research Center) as an organization independent from the Drug Research Division under the direct control of the president. In February 2013 we set up a new base in Cambridge, Massachusetts, U.S. in order to expand the scale of research activities of Boston Biomedical, Inc. and establish a global cancer research and development system.

### Setting global development as a basic strategy

The Sumitomo Dainippon Pharma Group has R&D bases in the four regions of Japan, the U.S., China and the U.K. that engage in collaborative activities under the management of the Chief Scientific Officer (CSO). The Sumitomo Dainippon Pharma Group established the Global Business Strategy Committee (GBSC) in April 2012 to make efficient the R & D management function of CSO. GBSC works to optimize the Sumitomo Dainippon Pharma Group's overall portfolio with a global perspective by discussing issues including global business strategy, licensing proposals, and strategic prioritization and resource allocation for R&D, in addition, discusses the promotion of projects in early development stages and other issues with a global perspective. As for clinical development, the Sumitomo Dainippon Pharma Group will strengthen the global seamless management and promote development in a speedier and more efficient manner under the Head of Global Clinical Development.

### Pharmaceuticals production

Recognizing that pharmaceuticals play a vital role in maintaining human health, we are dedicated to assuring that all pharmaceutical products have their designed quality. Manufacturing and quality control of pharmaceuticals are required to be carried out strictly in accordance with Good Manufacturing Practice (GMP) standards in each country.

The pharmaceuticals manufactured by the Sumitomo Dainippon Pharma Group are exported around the world after obtaining approval from the regulatory authorities of importing nations, including the U.S. Food and Drug Administration (FDA), the European Medicines Agency (EMA), and Australia's Therapeutic Goods Administration (TGA). Therefore, operating standards in the Sumitomo Dainippon Pharma Group are consistent with the GMP standards of Europe and the United States. Furthermore, we have established a high level of facility design and a quality assurance system to meet strict quality standards at the global level, including audits by overseas partner companies and the guidelines of the International Conference on Harmonisation (ICH), which deliberates the harmonization of EU, U.S. and Japanese pharmaceutical regulations.

Global standards for quality assurance are expected to become increasingly rigorous. The Sumitomo Dainippon Pharma Group is therefore making proactive investments in manufacturing facilities — including a new solid dosage form facility and a restricted access barrier system (RABS) that increases the level of sterility assurance — to meet future standards. Our manufacturing, quality assurance and other related divisions will work in concert to continue to provide pharmaceuticals of the highest quality.

### Manufacturing bases

#### ■ Suzuka Plant

As a production site focusing on efficiency, Suzuka Plant maintains integrated pharmaceutical manufacturing facilities at which a full range of operations are conducted, from production of active pharmaceutical ingredients and finished products to packaging. The main products at Suzuka are LONASEN<sup>®</sup>, an atypical antipsychotic, PRORENAL<sup>®</sup>, a vasodilator, GASMOTIN<sup>®</sup>, a gastroprokinetic, and EBASTEL<sup>®</sup>, an antiallergic.

#### ■ Ibaraki Plant

Since Technology Research and Development Division's Formulation Technology Research Department is located in the Ibaraki Plant, from manufacturing technology research to manufacturing and quality control practice, as an R&D-driven pharmaceuticals plant able to flexibly accommodate new products and technologies, this plant produces drugs in dosage forms, including solid dosages, powders, tablets, capsules and injections.

#### ■ Ehime Plant

Ehime Plant manufactures biopharmaceutical products, and boasts the industry's largest cell culture facilities in terms of the number and size of cell-culture vessels. Under strict quality control system, the plant produces crude intermediate solution of SUMIFERON<sup>®</sup>, a natural alpha interferon product and the anti-malignant tumor antibiotic CALSED<sup>®</sup>, a sterile freeze-dried formulation.

#### ■ Oita Plant

Oita Plant is our core facility for active pharmaceutical ingredients. Operating 24 hours a day, 365 days a year, the plant manufactures active ingredients for products such as LATUDA<sup>®</sup>, an atypical antipsychotic; AMLODIN<sup>®</sup>, a therapeutic agent for hypertension and angina pectoris; DOPS<sup>®</sup>, a noradrenaline-activating neural function ameliorant; and CALSED<sup>®</sup>. MEROPEN<sup>®</sup>, a carbapenem antibiotic, is manufactured from its active ingredient to the final product in an integrated way for use in Japan and overseas.

## USA

### Sunovion Pharmaceuticals Inc.

Sumitomo Dainippon Pharma acquired U.S. company, Sepracor Inc. (Current Sunovion Pharmaceuticals Inc.) in October 2009, and then merged it with our subsidiary Dainippon Sumitomo Pharma America Inc. in April 2010 and changed the company name to Sunovion Pharmaceuticals Inc. (Sunovion). Currently, Sunovion plays a major role in the North American business of the Sumitomo Dainippon Pharma Group.

Sunovion aims to maximize earnings from LATUDA® (launched in February 2011) in addition to optimizing the field force structure for existing products.

#### Corporate Profile

Sunovion is a pharmaceutical company that possesses all of the functions of such an enterprise including R&D, manufacturing and sale of ethical pharmaceuticals for the Psychiatry & Neurology and respiratory areas.

<b>Foundation</b>	January 1984
<b>Head office location</b>	Marlborough, Massachusetts, U.S.A.
<b>Recent achievements</b>	184.9 billion yen (FY 2015)

#### Product lineup

Major pharmaceutical products	Therapeutic indication
<b>LATUDA®</b>	Atypical antipsychotic
<b>APTiom®</b>	Antiepileptic
<b>BROVANA®</b>	Long-acting Beta-agonist
<b>LUNESTA®</b>	Sedative hypnotic
<b>XOPENEX HFA®</b>	Short-acting Beta-agonist
<b>OMNARIS®</b>	Corticosteroid nasal spray
<b>ZETONNA®</b>	Corticosteroid nasal spray
<b>ALVESCO®</b>	Inhaled corticosteroid

## China

### Sumitomo Pharmaceuticals (Suzhou) Co., Ltd.

The Sumitomo Dainippon Pharma Group is positively deploying business in China by setting it as an important growing market. In this market, our subsidiary Sumitomo Pharmaceuticals (Suzhou) Co., Ltd. is in charge of operations, from the production to sale of four products locally including MEPem®. The plant at Sumitomo Pharmaceuticals (Suzhou) Co., Ltd. currently packages our products for sale in the local market. Fully integrated production, from formulation to packaging, is currently being prepared. By bringing new products in addition to existing products to the market, we are aiming for steady growth.

#### Corporate Profile

<b>Foundation</b>	December 2003
<b>Head office location</b>	Suzhou Industrial Park, Jiangsu Province, People's Republic of China
<b>Recent achievements</b>	18.4 billion yen (FY 2015)

### Product lineup

Major pharmaceutical products	Therapeutic indication
<b>MEPEM</b> <sup>®</sup> (sold in Japan as MEROOPEN)	Carbapenem antibiotic
<b>ALMARL</b> <sup>®</sup>	Therapeutic agent for hypertension, angina pectoris and arrhythmia
<b>SEDIEL</b> <sup>®</sup>	Serotonin-agonist antianxiety drug
<b>GASMOTIN</b> <sup>®</sup>	Gastroprokine

## Other Products

### Food Ingredients and Chemical Product Materials

The food ingredients and chemical product materials business is handled by Sumitomo Dainippon Pharma subsidiary DSP Gokyo Food & Chemical Co., Ltd.

In the food ingredients and food additives business, the company develops and sells food ingredients and additives for use in manufacturing safe, high-quality foods. Products include polysaccharides, primarily GLYLOID<sup>®</sup> (tamarind seed gum), the first product of its kind successfully produced by us on an industrial scale; seasonings such as soup bouillon. We also run the information portal site aimed at maximizing the value of polysaccharides, our core products.

The chemical product materials business encompasses such products as active pharmaceutical ingredients, cosmetic materials, electronic chemicals, coatings and industrial chemicals. Leveraging Sumitomo Dainippon Pharma's technologies and know-how from the pharmaceuticals business, and through cooperation with domestic and overseas suppliers, we are expanding these business units to a wide range of customers. We will aim to expand this business as a company that integrates research, development and sales operations to continually create value that is recognized by all.

### Animal Health Products

The animal health products business is conducted by Sumitomo Dainippon Pharma subsidiary DS Pharma Animal Health Co., Ltd.

The major products are veterinary medicinal products and therapeutic nutritional formulas for companion animals, primarily dogs and cats, as well as for livestock such as cattle, swine, poultry, horses and aquacultured fish.

In the companion animal market, its focus business segment, DS Pharma Animal Health sells various therapeutics, including VICTAS<sup>®</sup>, an antibacterial preparation, PRONAMID<sup>®</sup>, a canine gastroprokinetic agent for the improvement of gastrointestinal motility, ds PIMOHEART<sup>®</sup> for chronic canine heart failure, and OraStrip<sup>™</sup>, a test strip of halitosis as an indicator for canine oral health management.

For the livestock industries, DS Pharma Animal Health provides VICTAS<sup>®</sup> Soluble Powder 25%, a fluoroquinolone antibiotic for swine, URSO<sup>®</sup>, a bile acid product for cattle, EQVALAN<sup>®</sup> paste, an oral anthelmintic for horses, and other products. For the aquaculture industry, the company provides vaccines and other products including anesthetics for fish and crustaceans and synthetic antibacterial drugs, contributing to security and safety of food. In addition, the company deals in feed additives and mixed feeds for maintaining animal's health and improving productivity.

As a research and development based animal health company, we will continue our efforts to create high-quality products that deliver new value that support the well-being of animals and promote a blissful society where animals and people live together harmoniously.

### **Diagnostics and Research Materials**

Sumitomo Dainippon Pharma subsidiary DS Pharma Biomedical Co., Ltd. conducts the diagnostics and research materials business.

In the diagnostics business, to help ensure accurate and timely treatment, the company develops and supplies point-of-care testing (POCT) products, such as diagnostics for infectious diseases like influenza and Streptococcus, and the H-FABP detecting reagent as diagnostics for acute myocardial infarction, as well as born and calcium metabolism markets and diagnostics for neuropsychiatric disorder. The company is also developing biomarkers as companion diagnostics, which are performed to predict the efficacy and/or side-effects of drugs before they are administered, and developing and selling highly-sensitive and advanced products by combining chemiluminescent/fluorescent equipment and special reagents.

In addition, DS Pharma Biomedical develops and supplies research materials that facilitate research related to medical care. It is focusing on creating new value by providing cells and culture media that can be applied in regenerative therapy using ES cells and iPS cells.

The company aims to contribute to drug discovery research through companion diagnostic biomarker developments and a new early-stage drug discovery assay system that applies cell culture techniques.

# Board of Directors and Executive Officers



(as of January 1, 2017)

Post	Name
Representative Director, President and Chief Executive Officer	Masayo Tada
Representative Director, Senior Executive Vice President Drug Research; Drug Development; Technology Research & Development; Regenerative & Cellular Medicine; Global Oncology	Hiroshi Noguchi
Member, Board of Directors, Executive Vice President External Affairs; Corporate Secretariat & Industry Affairs; Finance & Accounting; Drug Development Division; Special Assignments	Hiroshi Nomura
Member, Board of Directors, Senior Executive Officer Corporate Governance; Personnel	Masaru Ishidahara
Member, Board of Directors, Senior Executive Officer Executive Director, Sales & Marketing Division	Hitoshi Odagiri
Member, Board of Directors, Executive Officer Senior Director, Global Corporate Planning; Regenerative & Cellular Medicine Office; Regenerative & Cellular Medicine Kobe Center	Toru Kimura
Member, Board of Directors (Outside)	Hidehiko Sato
Member, Board of Directors (Outside)	Hiroshi Sato
Audit & Supervisory Board Member	Nobuo Takeda
Audit & Supervisory Board Member	Yasuji Furutani
Audit & Supervisory Board Member (Outside)	Harumichi Uchida
Audit & Supervisory Board Member (Outside)	Yutaka Atomi
Audit & Supervisory Board Member (Outside)	Kazuto Nishikawa
Senior Executive Officer Chair and CEO, Sunovion Pharmaceuticals Inc.	Nobuhiko Tamura
Senior Executive Officer Executive Director, Corporate Regulatory Compliance & Quality Assurance Division; Regulatory Affairs; Medical Information; Medical Affairs	Yoshinori Oh-e
Senior Executive Officer Executive Director, Manufacturing Division Executive Director, Technology Research & Development Division	Yoshiharu Ikeda
Senior Executive Officer President, Boston Biomedical Pharma, Inc.; Global Oncology Office; Oncology Clinical Development Unit; Oncology Strategy Unit; Head of Global Oncology Office	Kazuo Koshiya
Executive Officer Executive Director, Drug Development Division	Nobuyuki Hara
Executive Officer Global Business Development; Legal Affairs; Intellectual Property; Corporate IT Management; International Business Management; Head of Global Business Development for Sumitomo Dainippon Pharma Group	Hiroyuki Baba
Executive Officer Director, Corporate Governance; Corporate Service Center	Hajime Kinuta

## Board of Directors and Executive Officers



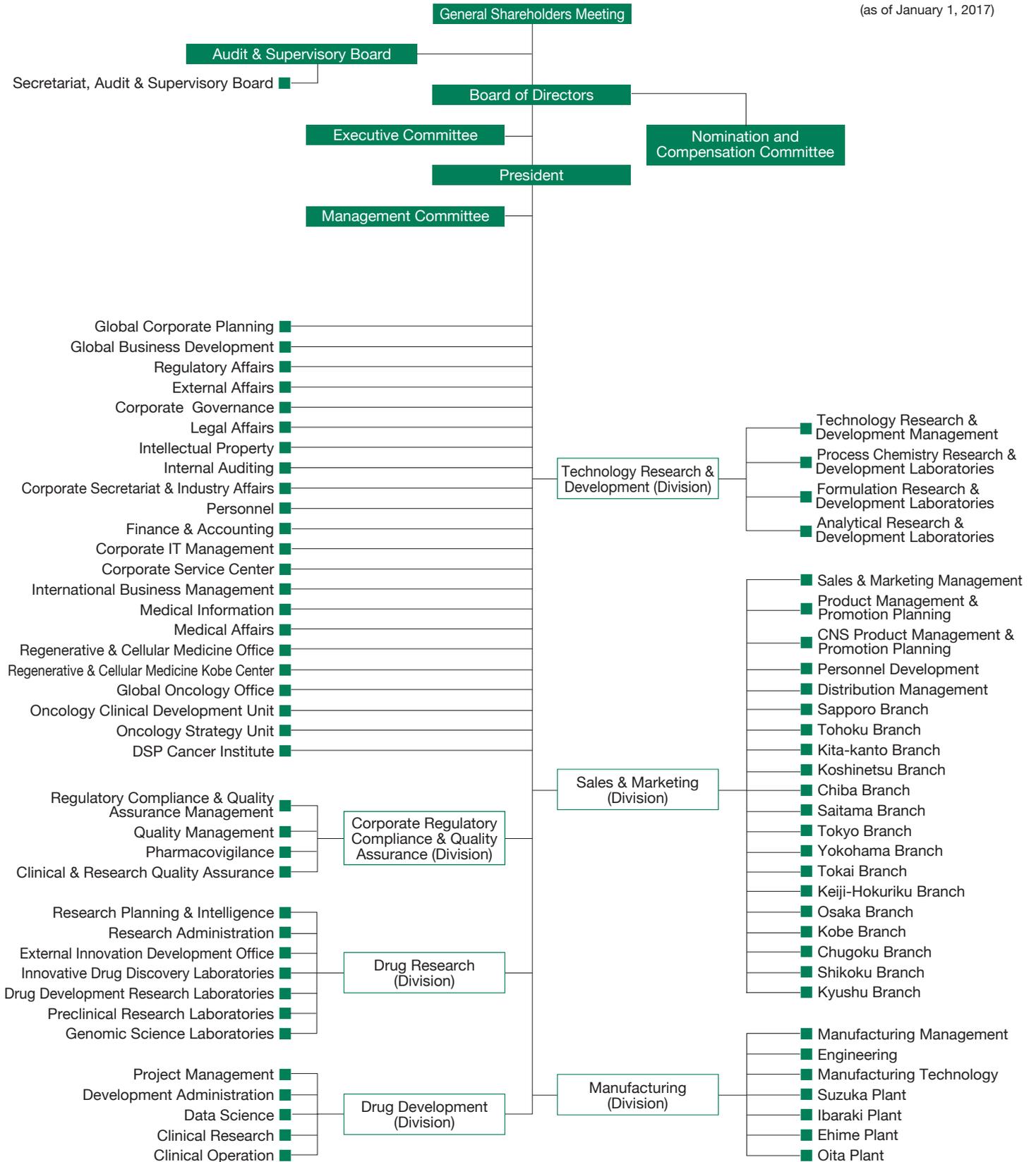
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Executive Officer Executive Director, Drug Research Division	Hideyuki Harada
Executive Officer Executive Vice President, Chief Medical Officer, Sunovion Pharmaceuticals Inc.; Head of Global Clinical Development for Sumitomo Dainippon Group	Antony Loebel
Executive Officer President, Chief Executive Officer and Chief Medical Officer, Boston Biomedical, Inc.; Head of Global Oncology for Sumitomo Dainippon Pharma Group	Chiang J. Li

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# Organizational Chart

(as of January 1, 2017)



## ● Osaka Head Office

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## ● Tokyo Head Office

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FAX : +81-3-5159-2945

## ● Tokyo Kyobashi Office

17-10, Kyobashi 1-chome, Chuo-ku, Tokyo, 104-0031, Japan

## ● Regenerative & Cellular Medicine Kobe Center

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## ● Suzuka Plant

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## ● Ibaraki Plant

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## ■ Central Research Laboratories

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## ■ Osaka Research Center

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Osaka, 554-0022, Japan  
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FAX : +81-6-6466-5483

## ● Tokyo Distribution Center

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FAX : +81-480-65-2986

## ● Kobe Distribution Center

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## Overseas Local Subsidiaries

### ● Dainippon Sumitomo Pharma America Holdings, Inc.

84 Waterford Drive Marlborough, MA 01752  
TEL : +1-508-481-6700  
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### ● Sunovion Pharmaceuticals Inc.

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FAX : +1-508-481-7683

### ● Boston Biomedical, Inc.

640 Memorial Drive, Cambridge, MA 02139  
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FAX : +1-617-674-8661

### ● Boston Biomedical Pharma, Inc.

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### ● Sunovion Pharmaceuticals Europe Ltd.

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London SW1E 6QT, U.K.  
TEL : +44- (0) 20-7821-2840  
FAX : +44- (0) 20-7821-2841

### ● Sumitomo Pharmaceuticals (Suzhou) Co., Ltd.

No.115 QingQiu Street, SIP, Jiangsu Province, 215126 China  
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### ● Sunovion Pharmaceuticals Asia Pacific Pte. Ltd.

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